

Introductory sub-table (I)(a)

Name of the Company: EMPIRE INDUSTRIES LIMITED			
Scrip Code, Name of the scrip, class of security: 509525, EMPIREIND, EQUITY			
Quarter ended: 31/03/2014			
Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total	0	0	0
Outstanding convertible securities:-	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total	0	0	0
Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total	0	0	0
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities	5999998	0	100

Statement Showing Shareholding Pattern

		Table (I)(a)						
Category code	Category of Shareholder	Number of Shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
					As a percentage of(A+B) ¹	As a percentage of (A+B+C)	Number of shares	As a percentage
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)= (VIII)/(IV)*100
(A)	Shareholding of Promoter and Promoter Group²							
1	Indian							
(a)	Individuals/ Hindu Undivided Family							
		8	3067148	3067148	51.12	51.12	0	0.00
(b)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(c)	Bodies Corporate	2	1034454	1034454	17.24	17.24	0	0.00
(d)	Financial Institutions/ Banks	0			0.00	0.00	0	0.00
(e)	Any Others(Specify)	0			0.00	0.00	0	0.00
(e-i)	Shares held by Mr. S. C. Malhotra, Trustee on behalf of Company's Employees Trusts since 28.02.1989	5	54815	54815	0.91	0.91	0	0.00
(e-ii)					0.00	0.00	0	0.00
	Sub Total(A)(1)	15	4156417	4156417	69.27	69.27	0	0.00

2	Foreign							
a	Individuals (Non-Residents Individuals/ Foreign Individuals)				0.00	0.00		0.00
b	Bodies Corporate	0	0	0	0.00	0.00		0.00
c	Institutions	0	0	0	0.00	0.00		0.00
d	Qualified Foreign Investor	0	0	0	0.00	0.00		0.00
e	Any Others(Specify)	0	0	0	0.00	0.00		0.00
e-i					0.00	0.00		0.00
e-ii								
	Sub Total(A)(2)	0	0	0	0.00	0.00	0	0.00
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	15	4156417	4156417	69.27	69.27	0	0.00
(B)	Public shareholding							
1	Institutions							
(a)	Mutual Funds/ UTI	0			0.00	0.00		
(b)	Financial Institutions / Banks	3	504	0	0.01	0.01		
(c)	Central Government/ State Government(s)	0	0	0	0.00	0.00		
(d)	Venture Capital Funds	0	0	0	0.00	0.00		
(e)	Insurance Companies	2	1043626	1043626	17.39	17.39		
(f)	Foreign Institutional Investors	0	0	0	0.00	0.00		
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00		
(h)	Qualified Foreign Investor	0	0	0	0.00	0.00		
(i)	Any Other (specify)	0	0	0	0.00	0.00		
(i-ii)					0.00	0.00		
(i-ii)								
	Sub-Total (B)(1)	5	1044130	1043626	17.40	17.40		

B 2	Non-institutions							
(a)	Bodies Corporate	93	138276	136822	2.30	2.30		
(b)	Individuals				0.00	0.00		
I	Individuals -i. Individual shareholders holding nominal share capital up to Rs 1 lakh	4906	612194	442004	10.20	10.20		
II	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	2	38358	38358	0.64	0.64		
(c)	Qualified Foreign Investor	0	0	0	0.00	0.00		
(d)	Any Other (specify)	0	0	0	0.00	0.00		
(d-i)	Clearing Member	20	712	712	0.01	0.01		
(d-ii)	Non Resident Indians (NRI)	25	9911	9911	0.17	0.17		
	Sub-Total (B)(2)	5046	799451	627507	13.32	13.32		
(B)	Total Public Shareholding (B)= (B)(1)+(B)(2)	5051	1843581	1671133	30.73	30.73		
	TOTAL (A)+(B)	5066	5999998	5827550	100.00	100.00		
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
1	Promoter and Promoter Group	0	0	0	0	0.00	0	0.00
2	Public	0	0	0	0	0.00		
	Sub-Total (C)	0	0	0		0	0	
	GRAND TOTAL (A)+(B)+(C)	5066	5999998	5827550	100.00	100.00	0	0.00

NOTE: Consequent upon the Transmission of Shares of Randil Trading Company Pvt. Ltd. (RTC) in the names of Mr. Ranjit Malhotra and Mr. Dileep Malhotra, they got controlling power in (RTC) and its Subsidiary, Arjun Transport Co. Pvt. Ltd. Hence the shares of Empire Industries Limited held by Arjun Transport Co. Pvt. Ltd. is shown in 'Shareholding of Promoter and Promoter Group'.

Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to (I)(b) the category “Promoter and Promoter Group”

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A)+(B)+(C)	No.	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	DILEEP MALHOTRA	1336934	22.28	0	0.00	0.00	0	0.00	0	0	1336934
2	RANJIT MALHOTRA	943876	15.73	0	0.00	0.00	0	0.00	0	0	943876
3	SATISHCHANDRA MALHOTRA	203252	3.39	0	0.00	0.00	0	0.00	0	0	203252
4	EMPIRE INTERNATINAL P LTD.	327012	5.45	0	0.00	0.00	0	0.00	0	0	327012
5	USHA DEVI MALHOTRA	198117	3.30	0	0.00	0.00	0	0.00	0	0	198117
6	UMA MALHOTRA	77973	1.30	0	0.00	0.00	0	0.00	0	0	77973
7	KARAN MALHOTRA	141745	2.36	0	0.00	0.00	0	0.00	0	0	141745
8	KABIR MALHOTRA	85251	1.42	0	0.00	0.00	0	0.00	0	0	85251
9	ANJALI MALHOTRA	80000	1.33	0	0.00	0.00	0	0.00	0	0	80000
10	S.C. MALHOTRA AS TRUSTEE	12410	0.21	0	0.00	0.00	0	0.00	0	0	12410
11	S.C. MALHOTRA AS TRUSTEE	11998	0.20	0	0.00	0.00	0	0.00	0	0	11998
12	S.C. MALHOTRA AS TRUSTEE	10285	0.17	0	0.00	0.00	0	0.00	0	0	10285
13	S.C. MALHOTRA AS TRUSTEE	10123	0.17	0	0.00	0.00	0	0.00	0	0	10123
14	S.C. MALHOTRA AS TRUSTEE	9999	0.17	0	0.00	0.00	0	0.00	0	0	9999
15	ARJUN TRANSPORT CO. P. LTD	707442	11.79	0	0.00	0.00	0	0.00	0	0	707442
TOTAL		4156417	69.27	0	0	0.00	0	0	0.00	0	4156417

(*) The term “encumbrance” has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category “Public” and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	LIFE INSURANCE CORPORATION OF INDIA	948717	15.81	0	0	0	0	948717
2	THE ORIENTAL INSURANCE CO. LTD.	94909	1.58	0	0	0	0	94909
3	VISHNU TRADING CO. PVT. LTD.	89978	1.50	0	0	0	0	89978
TOTAL		1133604	18.89	0	0	0	0	1133604

(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category “Public” and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	LIFE INSURANCE CORPORATION OF INDIA	948717	15.81	0	0	0	0	948717
TOTAL		948717	15.81	0	0	0	0	948717

(I)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Promoter / Promoter Group / Public
1	NIL		0.00	Promoter/Promoter Group
2			0.00	Public
3			0.00	Public
4			0.00	Promoter/Promoter Group
5			0.00	Promoter/Promoter Group
TOTAL		0	0.00	

(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	NIL	0	0	0.00
TOTAL		0	0	0.00

(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	NIL	0	0	0.00
TOTAL			0	0.00